

IFW

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
DC-0293

In Re Application Of: Paula Sundstrom

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/672,074	September 29, 2003	Not yet assigned	26259	1614	7985

Title: **Methods for Regulating Bud-Hypha Transitions and cAMP Levels in Candida Albicans**

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Power of Attorney with Revocation
Executed Certificate Under 37 CFR 3.73 (b)

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-1619** as described below.
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- ☒ Credit any overpayment.
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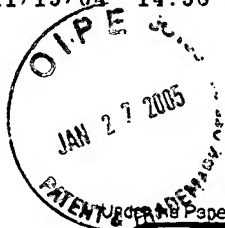
Dated: **January 25, 2005**

Jane Massey Licata, Reg. No. 32,257

Licata & Tyrrell P.C.
66 East Main Street
Marlton, New Jersey 08053
Tel : 856-810-1515
Fax: 856-810-1454

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on January 25, 2005 (Date)
Signature of Person Mailing Correspondence Mariana Lennox
Typed or Printed Name of Person Mailing Correspondence

CC:



PTO/SB/82 (09-03)

Approved for use through 11/30/2005. OMB 0851-0035

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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REVOCATION OF POWER OF ATTORNEY and APPOINTMENT OF NEW POWER OF ATTORNEY

Application Number	10/672,074
Filing Date	September 29, 2003
First Named Inventor	Paula Sundstrum
Art Unit	1614
Examiner Name	Not yet assigned
Attorney Docket	DC-0293

I hereby revoke all previous powers of attorney given in the above-identified application:

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 26259

☒ Please change the correspondence address for the above-identified application to:

☐ The address associated with
Customer Number:

OR

☒ Firm or
Individual Name **Licata & Tyrrell P.C.**

Address **66 East Main Street**

Address

City **Marlton**

Country **US**

State **NJ**

ZIP **08053**

Telephone **856-810-1515**

Fax **856-810-1454**

I am the:

☒ Applicant/Inventor

☐ Assignee of record of the entire interest. See 37 CFR 3.71
Statement under 37CFR 3.73(b) is enclosed (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name

**Alla Kan, Director
Technology Transfer Office**

Signature

Alla Kan

Date

11/16/04

Telephone

603-646-3027

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.38. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approved for use through 07/31/2006. OMB 0851-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Paula Sundstrum

Application No./Patent No.: 10/672,074

Filed/Issue Date: September 29, 2003

Entitled:

Methods for Regulating Bud-Hypha Transitions and cAMP Levels in Candida Albicans

Trustees of Dartmouth College

a corporation of New Hampshire

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document (s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Alia Kan
Alia Kan, Director Signature
Technology Transfer Office
Printed or Typed Name

Nov. 16, 2004
Date
603-646-3027
Telephone number

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FACSIMILE COVER SHEET

Licata & Tyrrell P.C.
66 E. Main Street
Marlton, New Jersey 08053

Telephone: 856-810-1515

Facsimile: 856-810-1454

January 25, 2005



TO : Patent Assignment Division
U.S. Patent & Trademark Office

FAX NUMBER : 1-703-306-5995

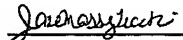
NUMBER OF PAGES : 6
(including this sheet)

REFERENCE :

Re: Continuation-in-Part Patent Application entitled
"Methods for Regulating Bud-Hypha Transitions and cAMP
Levels in Candida Albicans"
Serial No: 10/672,074 Filing Date: September 29, 2003
Attorney/Agent: JML/JMG
Our Ref: DC-0293

MESSAGE :

I hereby certify that a Recordation Form Cover Sheet and executed Assignment concerning U.S. Patent No. **10/672,074**, is being facsimile transmitted to the U.S. Patent and Trademark Office (Fax No. 703-306-5995) on **January 25, 2005**. Please charge deposit account 50-1619 the recordation fee of \$40.00 for recording the attached Assignment.



Jane Massey Licata
Reg. No. 32,257
Attorney of Record

* * * * *

If you have any questions, or did not receive the proper number of pages, or had difficulty during transmission, please call 856-810-1515.

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1-31-92 Patent and Trademark Office

Docket No.: DC-0293

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ID NO:

To The Commissioner for Patents. Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies):

Paula Sundstrom

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

3. Nature of Conveyance:

☒ Assignment ☐ Merger☐ Name Change ☐ Security Agreement☐ Other: _____

Execution date: January 20, 2005

2. Name and address of receiving party(ies):

Name: Trustees of Dartmouth College

Internal Address:

Street Address: 11 Rope Ferry Road

City: Hanover

State: NH

Zip: 03755-1404

Additional names (s) & address attached?

☐ YES ☒ NO

4. Application number(s) or patent number(s):

A. Patent Application No(s). 10/672,074 Filed: September 29, 2003 B. Patent No(s).

If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning documents should be mailed:

Licata & Tyrrell P.C.

66 E. Main Street

Marlton, New Jersey 08053

6. Total number of applications and patents involved: One (1)

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed USPTO Credit Card Payment Form☒ Authorized to be charged to deposit account.

8. Deposit Account number: 50-1619

(Attach duplicate copy of this page if paying by deposit account.)

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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jane Massey Licata, Reg. No. 32,257

Name of Person Signing

Signature Jane Massey Licata

January 25, 2005

Total number of pages comprising cover sheet, attachments, and document:

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Commissioner for Patents

Box Assignments

Alexandria, VA 22313-1450

FORM PTO-1595 U.S. DEPARTMENT OF COMMERCE

1-31-92 Patent and Trademark Office

Docket No.: DC-0293

RECORDATION FORM COVER SHEET PATENTS ONLY

ID NO:

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1. Name of conveying party(ies):

Paula Sundstrom

2. Name and address of receiving party(ies):

Name: Trustees of Dartmouth College

Internal Address:

Street Address: 11 Rope Ferry Road

City: Hanover

State: NH

Zip: 03755-1404

Additional name(s) of conveying party(ies)
attached?☐ YES ☒ NO

Additional names (s) & address attached?

☐ YES ☒ NO

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☒ Assignment☐ Merger☐ Name Change ☐ Security Agreement☐ Other: _____

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If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? ☐ YES ☒ NO5. Name and address of party to whom
correspondence concerning documents
should be mailed:

Licata & Tyrrell P.C.

66 E. Main Street

Marlton, New Jersey 08053

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correct and any attached copy is a true copy of the original document.

Jane Massey Licata, Reg. No. 32,257

Name of Person Signing

Signature *Jane Massey Licata*

January 25, 2005

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Alexandria, VA 22313-1450

Attorney Docket Nos.: 48544-0012 (DC-0293)
DC-0295
48544-00009 (DC-0291)

A S S I G N M E N T

WHEREAS, I Paula Sundstrom, herein after referred to as the assignor, residing at 34 Union Street, Lebanon, New Hampshire 03766, am the inventor of certain inventions or improvements for which I have made applications for Letters Patent of the United States, identified as Serial No. 10/672,074, filed September 29, 2003, entitled METHOD FOR BUD-HYPHA TRANSITIONS AND cAMP LEVELS IN CANDIDA ALBICANS; Serial No. 10/755,399, filed January 13, 2004, entitled METHODS FOR REGULATING BUD-HYPHA TRANSITIONS AND cAMP LEVELS BY THE ADENYLATE CYCLASE-ASSOCIATED PROTEIN GENE, CAP1 and Serial No. 09/801,774, filed March 9, 2001, entitled METHODS FOR REGULATING BUD-HYPHA TRANSITIONS AND cAMP LEVELS BY THE ADENYLATE CYCLASE-ASSOCIATED PROTEIN GENE CAP1 issued March 16, 2004 as U.S. Patent No. 6,706,688; and

WHEREAS, Trustees of Dartmouth College, hereinafter referred to as the assignee, of 11 Rope Ferry Road, Hanover, NH 03755-1404, a corporation of New Hampshire is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to me in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said assignor, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application or any other application based in whole or in part thereon and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any re-issue or re-issues or extension or extensions of said Letters Patent, and assign to and authorize said assignee to file in my name applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the

term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

AND I hereby covenant that I have full right to convey the entire interest herein assigned, and that I have not executed and will not execute any agreement in conflict herewith, and I further covenant and agree that I will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees or legal representatives, and I agree to communicate to said assignee, or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents of applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

 (L.S.)
Paula Sundstrom

New Hampshire :

COUNTY OF Grafton :

SS

On this 20 day of January, 2005,
before me personally came the above named Paula Sundstrom, to me
personally known and known to me to be the same individual who
executed the foregoing assignment, and who acknowledged to me that
execution of the same was of that person's own free will for the
use and purposes therein set forth.

Lisa M. Ford
Notary Public

LISA M. FORD
Notary Public - New Hampshire
My Commission Expires May 19, 2009